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# Evaluation of regulatory compliance in the thermal performance of an earth block housing module in the Peruvian high Andean region

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## Abstract

In high-altitude regions of the Global South, social housing programs are essential for mitigating vulnerability to low temperatures. However, a gap often exists between the actual thermal performance of these standardized dwellings and their compliance with national energy regulations. This study evaluates the "Sumaq Wasi" adobe housing module in Kunturkanki (Cusco, Peru) during the 2023 frost season, analyzing its regulatory compliance and its capacity to provide thermal comfort. The methodologies of the Peruvian standard EM.110 (2014 version and 2022 draft update) were applied to calculate thermal transmittance and surface condensation risk, while monitored indoor temperatures were benchmarked against adaptive comfort models. The results show widespread non-compliance with thermal transmittance limits for the roof and floor under both standards, although the condensation risk was found to be low. Indoor temperatures failed to meet conventional comfort standards but aligned with regionally documented adaptive comfort ranges. It is concluded that the module's design is insufficient for the local climatic conditions. Therefore, social housing policies must balance regulatory stringency with context-aware bioclimatic design to ensure their effectiveness.

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**Keywords:** Frost Season; Housing Module; Thermal Envelope; Thermal Transmittance; Surface Condensation; Peruvian Andes.

## 1. Introduction

In high-altitude regions of the Global South, climate change is intensifying due to extreme seasonal variations [1-3], disproportionately affecting vulnerable populations dependent on subsistence economies [4]. This scenario has prompted research into adaptive thermal comfort models [5-6], thermal performance evaluations based on leading global standards such as ASHRAE 55 [7], ISO 7730 [8], and UNE-EN 16798-1 [9], and passive solar heating architecture [10]. In the Andean mountain range, governmental policies in Argentina (2007) [11], Chile (2011) [12], and Peru (2014) [13] have established

41 regulations to ensure adequate thermal performance in buildings, with a priority on high-  
42 altitude constructions exposed to low-temperature winter seasons.

43 In the Peruvian context, approximately 2.8 million people reside between 3,000 and  
44 5,000 meters above sea level (m a.s.l.) [14], where the winter season brings periods of frost  
45 (heladas) [15] to the high-Andean regions. These conditions particularly affect the health  
46 and well-being of vulnerable indigenous rural populations—including children, the  
47 elderly, and young adults—who face acute respiratory infections, subsistence economies,  
48 and a low quality of life [16-17]. In response, studies on thermal comfort in both vernacular  
49 indigenous housing and improved housing modules have been conducted by public [18],  
50 [19] and private [20-22] university research programs, as well as by governmental and  
51 non-governmental mass-scale initiatives [23] aimed at mitigating the impact of low indoor  
52 temperatures.

53 A subset of this research has applied thermal transmittance calculations according to  
54 the 2014 Peruvian Technical Standard EM.110 to determine indoor thermal conditions in  
55 the high-Andean climate zone. One study focused on maximum thermal transmittance  
56 limits by assessing typical building envelopes (adobe walls, stone floors, and thatched  
57 roofs) [24], while another explained how low-cost insulation solutions were designed to  
58 meet the Peruvian standard's minimum U-value requirements for the region [25].

59 However, since the second decade of the 21st century, the impact of climate change  
60 has become more pronounced, with winter temperatures dropping to as low as -21°C in  
61 high-Andean zones, according to the National Meteorology and Hydrology Service of  
62 Peru [26]. This situation presents significant challenges for rural life and the well-being of  
63 indigenous communities. To address this, the Ministry of Housing, Construction, and  
64 Sanitation (MVCS) began implementing the "Sumaq Wasi" (a Quechua term for "Beautiful  
65 House") housing modules in 2019 to ensure thermal comfort in environments of high  
66 climatic vulnerability [27], an urgency recognized by a state of emergency declaration in  
67 2022 [28]. This intervention is part of the National Rural Housing Program, which  
68 provides social housing for poor and extremely poor indigenous communities in rural or  
69 dispersed settlements [29]. These modules are designed based on standardized models  
70 for regional climate variations but lack community participation toward appropriate  
71 ethno-development [30].

72 Thermal performance studies on the *Sumaq Wasi* modules, specifically focusing on  
73 thermal transmittance, have been conducted through undergraduate [31-33] and  
74 postgraduate theses [34]. Therefore, understanding the thermal performance of these  
75 modules across diverse high-altitude regions is a priority, not only due to the public  
76 investment involved but also because it is necessary to verify compliance with the  
77 Technical Standard EM.110 "Thermal and Luminous Comfort with Energy Efficiency"  
78 (2014) [13], its 2022 amendment to "Thermal Envelope" [35], and the 2022 draft version of  
79 the same standard [36].

80 The EM.110 standard, in both its official and draft versions, establishes the  
81 relationship between the building envelope and thermal performance by applying  
82 technical design requirements aimed at improving thermal well-being and promoting  
83 energy efficiency. This relationship is primarily based on three key aspects: limiting heat  
84 transfer (Maximum Thermal Transmittance), preventing moisture issues (Surface  
85 Condensation), and controlling heat loss or gain from air movement (Infiltration and Air  
86 Permeability).

87 The present study evaluates the thermal performance of an adobe Sumaq Wasi  
88 housing module, analyzing its compliance with the Peruvian Technical Standard EM.110  
89 during the 2023 frost season in Kunturkanki, Cusco. It is hypothesized that the  
90 standardized design of this dwelling is insufficient for the climatic demands of the high-  
91 Andean zone, resulting in non-compliance with regulatory limits and an inability to  
92 achieve recommended thermal comfort ranges. To test this hypothesis, the research  
93 pursues two main objectives: (1) to compare the results of thermal transmittance and

94 surface condensation risk calculations by applying the methodologies of the EM.110  
 95 standard (2014 version and 2022 draft update), in order to quantify the differences and  
 96 evaluate the implications of each standard; and (2) to assess whether the resulting thermal  
 97 performance allows for indoor temperatures to be maintained within the adaptive  
 98 comfort range, and to benchmark these records against the comfort standards  
 99 recommended by both regulations for the studied climate zone.

## 100 2. Materials and Methods

101 In this study, the thermal transmittance values of the building envelope components  
 102 and the surface condensation risk of a Sumaq Wasi module were calculated using both  
 103 the 2014 version and the 2022 draft update of the Peruvian standard EM.110. To support  
 104 these calculations, fieldwork was conducted to document the construction materials of  
 105 the selected module. During this period, from July 23 to 26, 2023—coinciding with the  
 106 season of lowest temperatures—indoor and outdoor air temperatures, along with other  
 107 meteorological variables, were continuously monitored. Subsequently, the collected data  
 108 were used to assess whether the Sumaq Wasi module maintains indoor air temperatures  
 109 within the adaptive thermal comfort range.

### 110 2.1. Study Area: Kunturkanki District and Sumaq Wasi Module

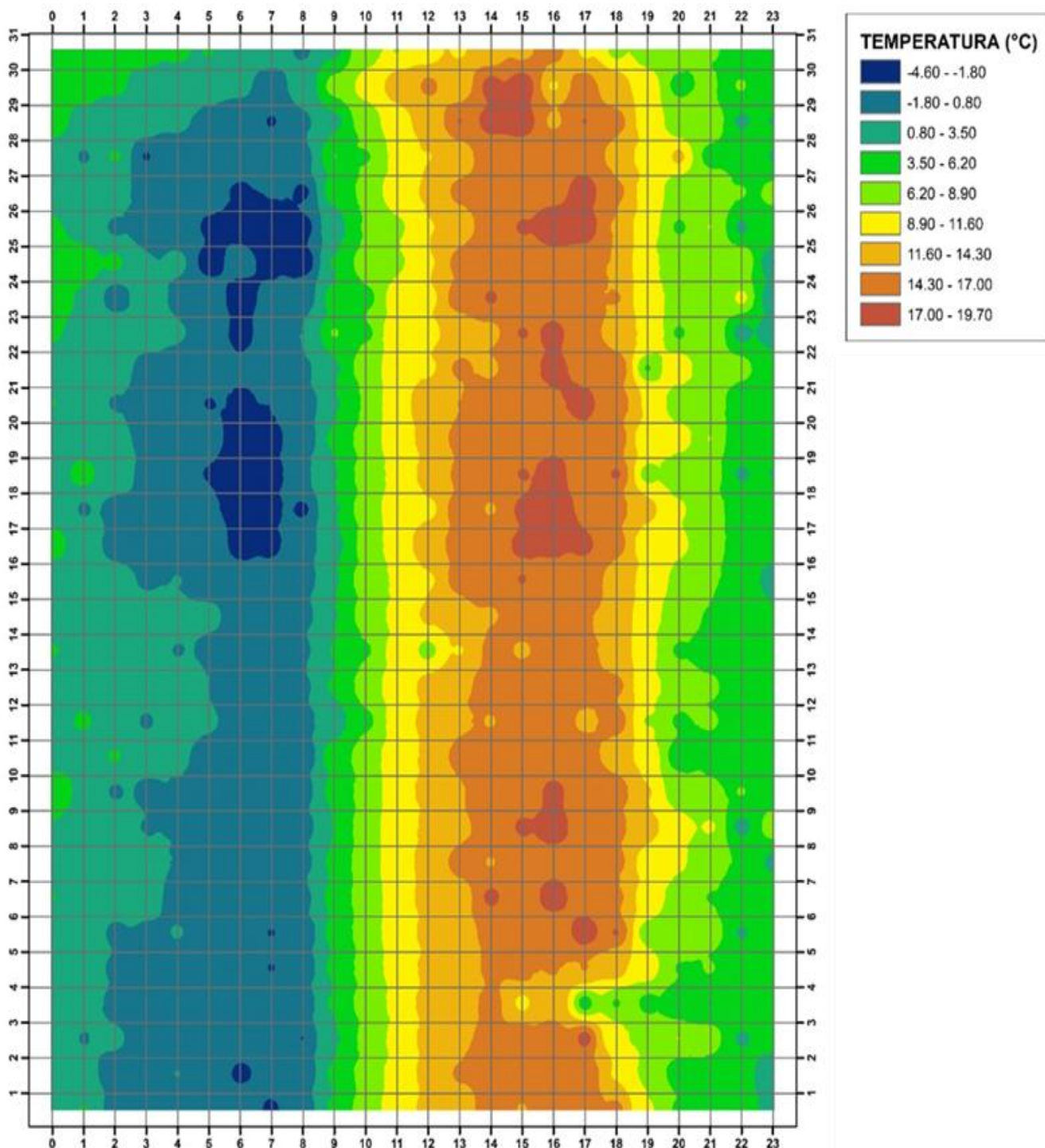
111 The research was conducted in the Kunturkanki district, located in the Canas  
 112 province of Cusco, Peru (latitude: 14.47225° S, longitude: 71.29688° W, altitude: 3958 m  
 113 a.s.l.) (Figure 1).



115 **Figure 1:** Spatial location of the housing module selected in Cusco using photos taken with a drone.

116 The climate of the Kunturkanki district is characterized by two distinct seasons: a  
 117 rainy season and a dry season. The dry season (June–August) features the lowest  
 118 temperatures and experiences cold spells, known locally as heladas (frosts). According to  
 119 data from the nearest meteorological station (Payapunku, located 4 km away at an altitude  
 120 of 3982 m a.s.l.), the average air temperature during this period is 6.81 °C, with an average  
 121 relative humidity of 46.50%. The daily thermal behavior, as illustrated in Figure 2, exhibits

a marked diurnal oscillation. Temperatures drop to their lowest point between midnight and 8:00 a.m., reaching a critical minimum of -4.6 °C between 5:00 and 7:00 a.m. Subsequently, a gradual increase is recorded, culminating in maximum peaks of 17 °C to 19.7 °C in the afternoon (2:00 p.m. to 5:00 p.m.).



**Figure 2:** Thermal Profile of the dry Season ('Heladas') in Kunturkanki (June-August 2023). Isotherm plot generated in ArcGIS. The x-axis represents the hours of the day, and the y-axis represents the days of the month.

The *Sumaq Wasi* housing modules are designed as one component of a typical high-Andean rural dwelling, which is often configured with dispersed domestic, productive, and sanitary spatial units (Figure 3). The specific *Sumaq Wasi* module studied was built in 2022, has a floor area of 33 m<sup>2</sup>, a ceiling height of 2.12 meters, and comprises three

spaces: two bedrooms and a dining area (Figure 4). Its building envelope is primarily constructed with adobe blocks, a concrete floor, and a roof made of zinc corrugated sheets with an expanded polystyrene (EPS) board (Table 1). During the site visit, it was verified that the dwelling's construction corresponds to the information provided in the government's technical documents.

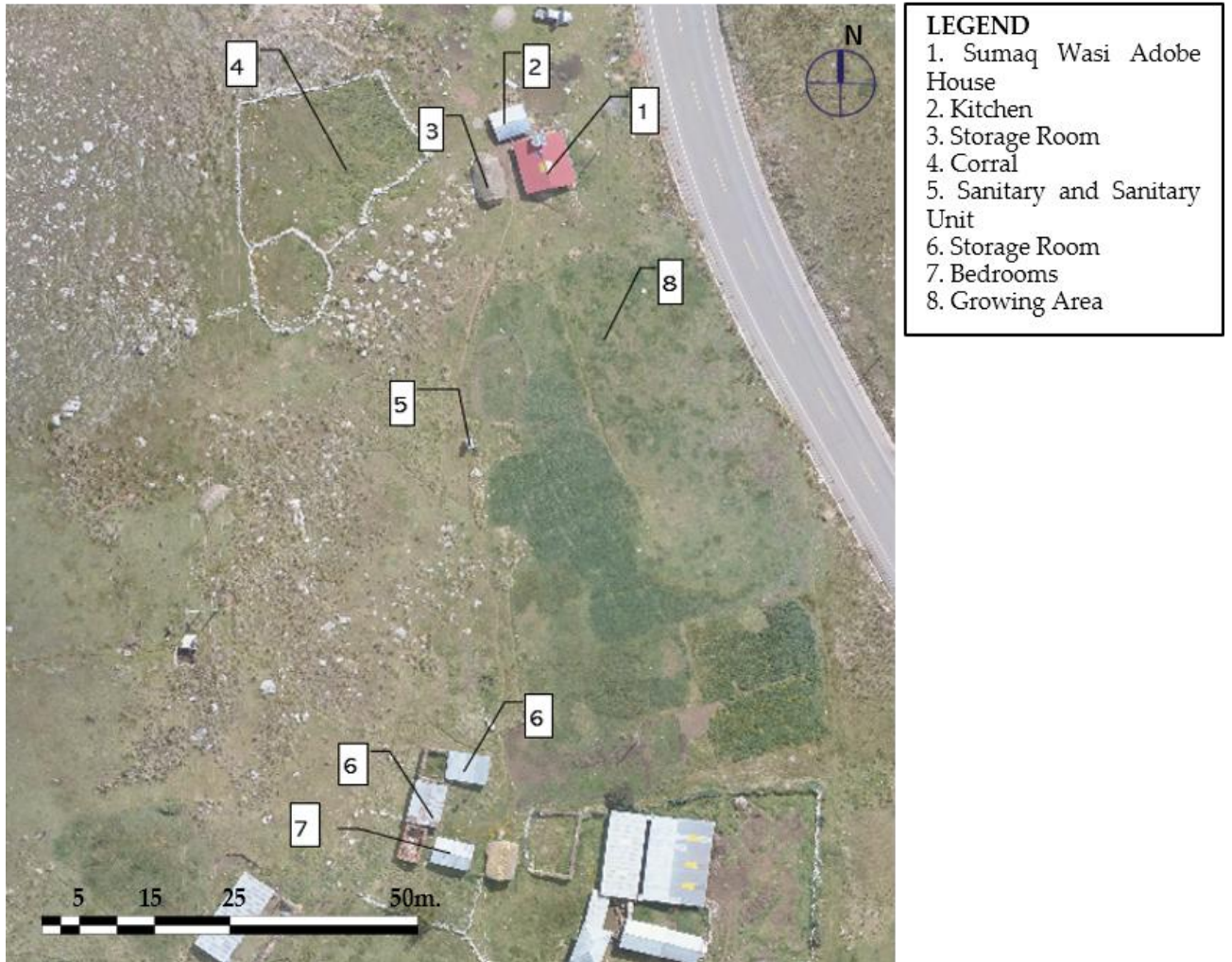


Figure 3: Geographical distribution of the rural dwelling in Kunturkanki. Drone-generated photography.

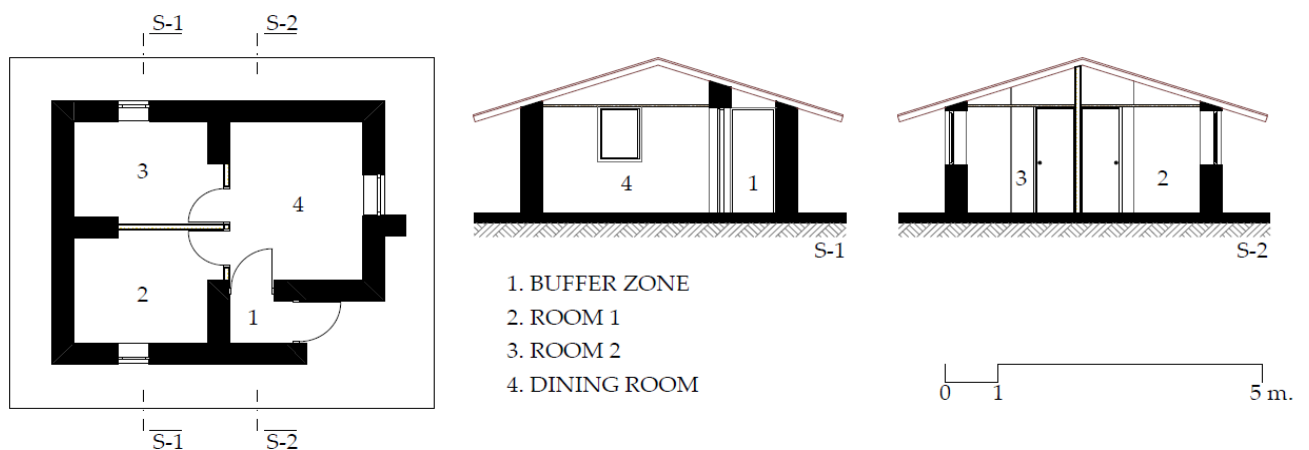


Figure 4: Spatial distribution of the housing module, Cusco.

**Table 1.** *Sumaq Wasi* envelope's and layers (organized from exterior to interior layer) [37].

Envelope	Constructive Element	Thickness (m)	
Exterior walls	<b>Foundation sill</b>	-	
	Cement-Sand Mortar	0.02	
	Plain Concrete Mix	0.4	
	Gypsum Plaster	0.01	
	<b>Baseboard</b>	-	
	Cement-Sand Mortar 1:3	0.02	
	Adobe	0.4	
	Gypsum Plaster	0.01	
	<b>Wall</b>	-	
	Gypsum Plaster	0.01	
	Adobe	0.4	
	Gypsum Plaster	0.01	
	<b>Beams</b>	-	
	Gypsum Plaster	0.01	
	Collar Beam	0.1016	
	Gypsum Plaster	0.01	
	Exterior Door	Phenolic Plywood	0.0065
		Unventilated Air Cavity	0.03
		Phenolic Plywood (3 Layers)	0.0065
	Windows	Aluminum Tube (frame)	0.0254
Clear Single Glass		0.06	
Windows shutter	Lightweight Wood (frame)	0.054	
	Plywood (exterior layer)	0.004	
	Unventilated Air Cavity	0.03	
	Plywood	0.004	
Roof	11-Channel Galvanized Corrugated Sheet	0.0003	
	Expanded Polystyrene	0.05	
Skylight (Roof)	Transparent Corrugated Polycarbonate	0.001	
Ceiling (opaque part)	Vinyl Tile	0.01	
Skylight-Ceiling	Aluminum Tube (frame)	0.0254	
	Cellular Polycarbonate	0.006	
Floor	Polished Cement Floor with Steel Reinforcement	0.08	
	Stone Bed	0.1016	

During the fieldwork, indoor and outdoor temperatures, as well as relative humidity, were measured using ELITECH RC-4HC hygrothermal data loggers. Sensors were installed both inside and outside the dwelling. The measurements were recorded over three consecutive days, from July 23 to 26, 2023, at 15-minute intervals. The monitoring was conducted under unoccupied conditions with all doors and windows closed.

## 2.2. Assessment of Thermal Transmittance and Surface Condensation according to Standard EM.110-2014 and the Draft Update EM.110-2022

### 2.3.1. Evaluation of Thermal Transmittance (U-value)

The calculation of thermal transmittance (U-value) was performed following the procedures established in both the Technical Standard EM.110 (2014) and its draft update (2022). The process began with the classification of the site into its corresponding bioclimatic zone: 'High-Andean' for the 2014 standard and 'Very Cold Continental' for the 2022 draft. Subsequently, each component of the building envelope (wall, roof, and floor) was analyzed, assigning the values for internal surface resistance ( $R_{si}$ ) and external surface resistance ( $R_{se}$ ) as stipulated by each regulation. The U-value of each element was determined by calculating the reciprocal of its total thermal resistance. A weighted average U-value was then obtained for each assembly and compared against the maximum allowable limits. The final values obtained for each methodology must not

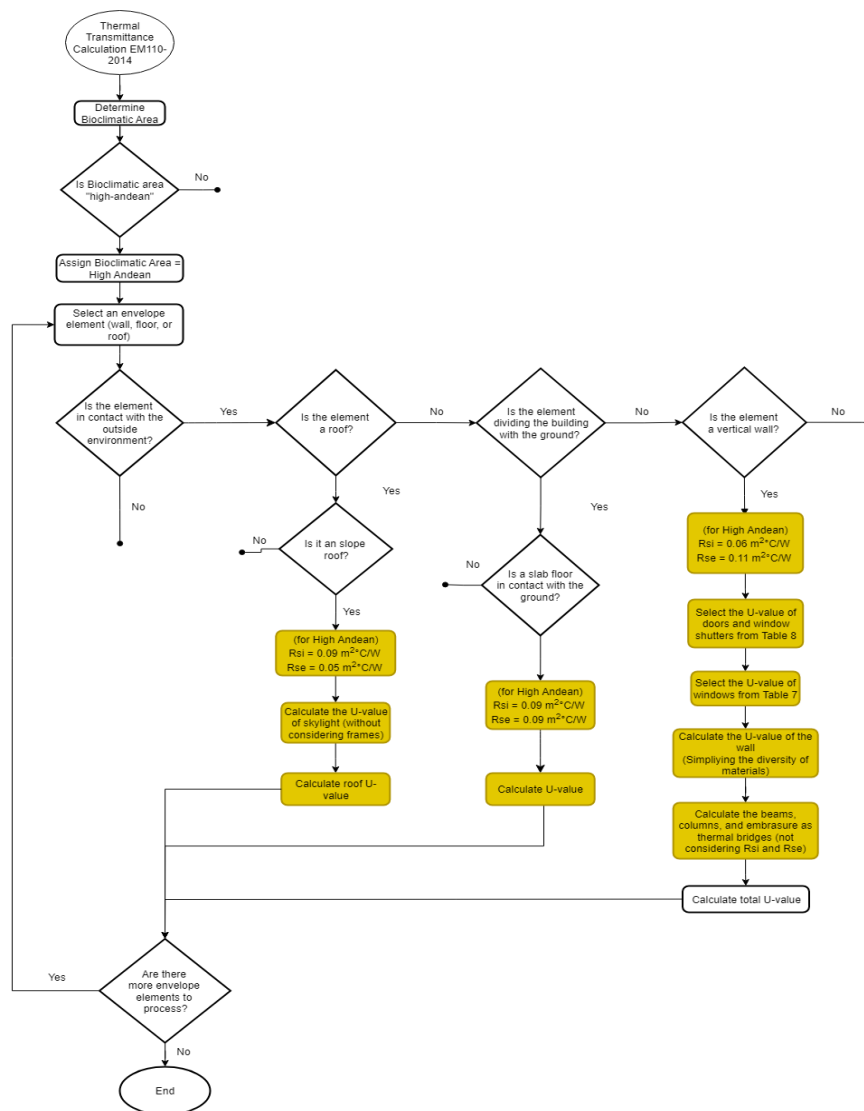
exceed the limits specified for each bioclimatic zone according to the selected standard (Table 2).

**Table 2.** Maximum Thermal Transmittance – Normative Contrast. [13-36].

Peruvian Technical Standard EM.110 (2014)				Peruvian Technical Standard Project EM.110 (2022)			
Bioclimatic Zone	TTM <sup>a</sup> (W/m <sup>2</sup> K)			Bioclimatic Zone	TTM <sup>a</sup> (W/m <sup>2</sup> K)		
	WALL	ROOF	FLOOR		WALL	ROOF	FLOOR (level or ventilated)
5 High Andean	1.00	0.83	3.26	6 Continental Very Cold	1.9	0.8	1.2

<sup>a</sup>TTM: Maximum Thermal Transmittance.

Although the general procedure is similar, the two standards present substantial methodological differences that influence the results. The 2022 draft update introduces a higher level of detail by requiring a differentiated calculation for heterogeneous elements, including both horizontal and vertical heat flows, in contrast to the more simplified approach of the 2014 version. Furthermore, the 2022 version eliminates the exclusion of surface resistances for thermal bridges (e.g., beams, columns) and mandates a specific calculation for openings, as opposed to the tabulated values used in the previous standard. Finally, the approach for the floor assembly changes radically: the 2014 standard requires a calculation based on the material layers, whereas the 2022 draft assigns a predetermined U-value based on the type of perimeter insulation (see Figures 5 and 6).



**Figure 5:** Flowchart of the Thermal Transmittance EM.110 - 2014 methodology. Adapted from [13].

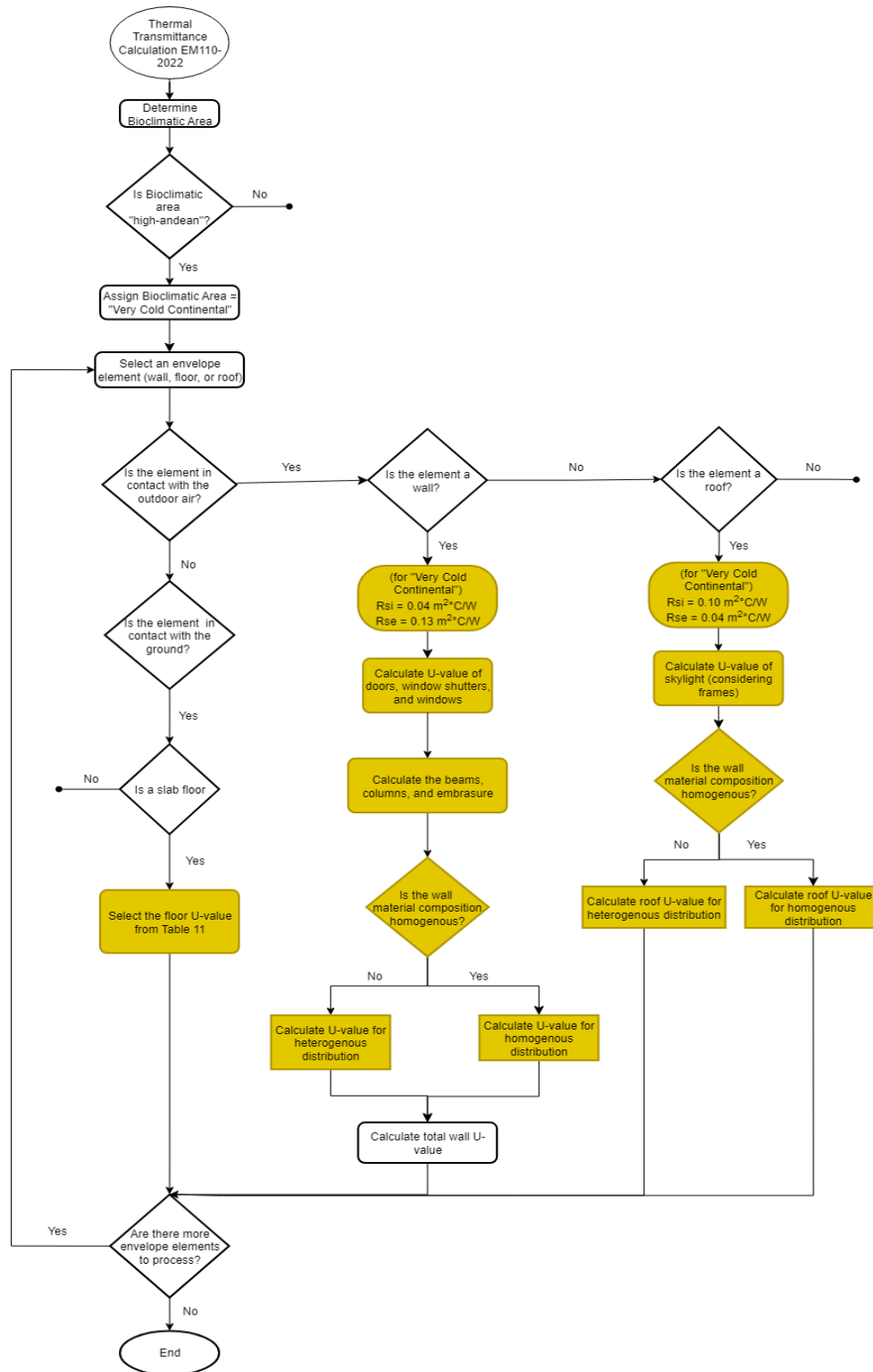


Figure 6: Flowchart of the Thermal Transmittance EM.110 - 2014 methodology para una Sumaq Wasi. Adapted from [36].

To perform the calculations for this study, information was gathered from both technical documents and a site visit, during which building materials were observed (Figure 8) and data loggers were installed. Subsequently, a matrix was developed to group each building component by its envelope assembly and its interaction with the surrounding environment, aligning with the requirements of each methodology. Field data, such as the layer composition of each building component (Figure 7), material thickness, and exposed area, were also collected. The thermal conductivity coefficients for the materials were obtained from the current EM.110 standard.

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**Figure 7:** Photographic record of construction materials verification. (1) Interview with the homeowner. (2) Verification of measurements. (3) State of repair of the foundation, subfloor, and wall of the home. (4) Detail of the roof overhang. (5) Detail of the aluminum window frame and wooden shutter. (6) Detail of the polycarbonate skylight in the social area inside the home.

### 2.3.2. Verification of Surface Condensation Risk

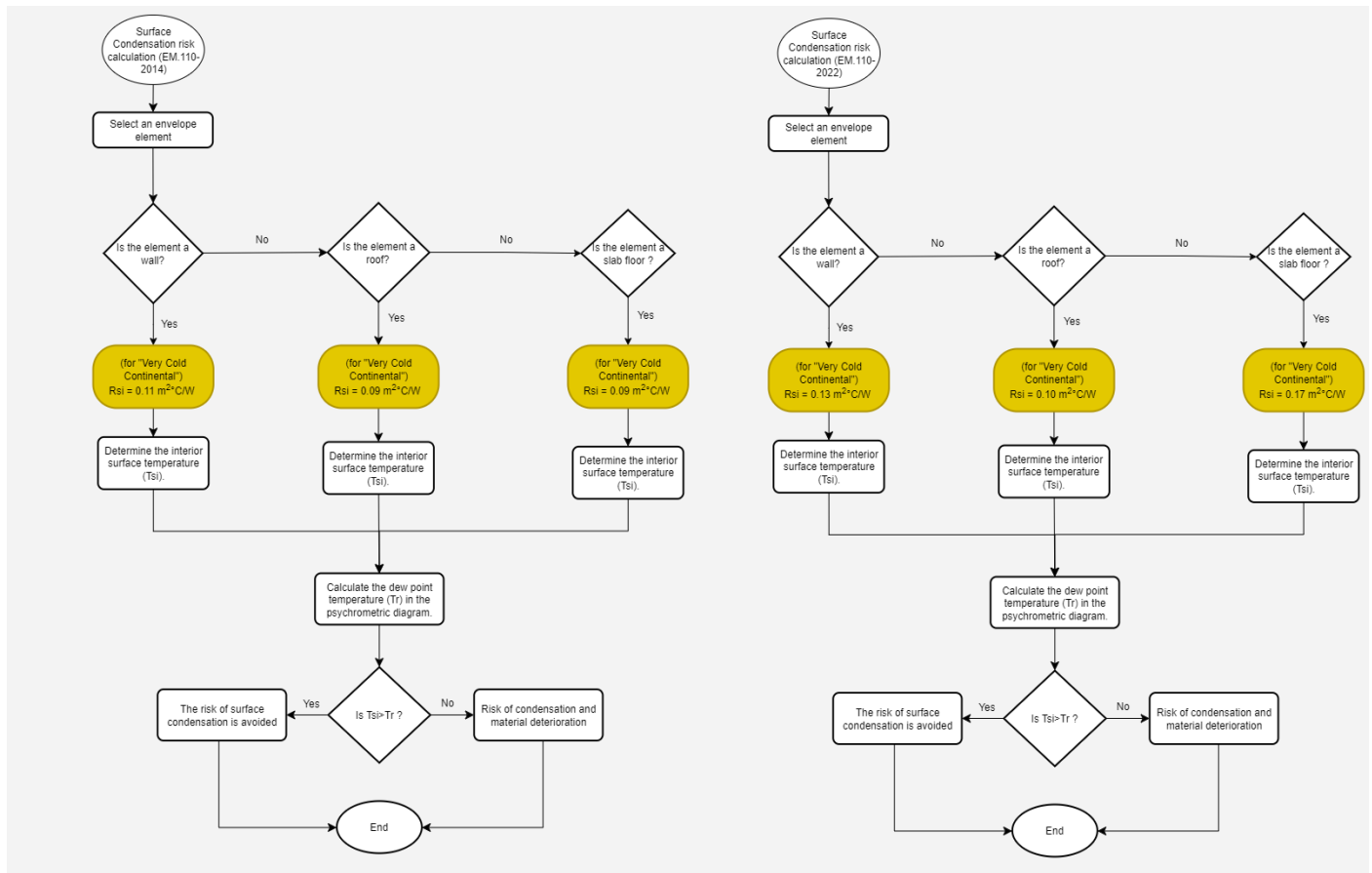
To prevent the degradation of building components due to moisture from condensation, it must be ensured that the internal surface temperature ( $T_{si}$ ) of each element is higher than the dew point temperature ( $T_r$ ) ( $T_{si} > T_r$ ). This requirement is mandatory for heated buildings in any bioclimatic zone.

The verification was performed following the "Methodology for the Calculation of Surface Condensation Risk" (Annex No. 4 in the 2014 standard [13]; Annex IV in the 2022 draft [36]), which references the IRAM 11630 standard [39]. This method consists of two main calculations:

1. Internal Surface Temperature ( $T_{si}$ ): This was calculated for each envelope assembly using the formula  $T_{si} = T_i - (R_{si} \times (T_i - T_e) / R_t)$ . The methodological differences between the two Peruvian standards lie in the input values for this formula: both the internal surface resistance ( $R_{si}$ ) and the total thermal resistance ( $R_t$ ) of each element vary, as the latter is a result of the previously described thermal transmittance calculation.

2. Dew Point Temperature ( $T_r$ ): This was determined from the design indoor temperature ( $T_i$ ) and the design indoor relative humidity ( $HR_i$ ) stipulated for the bioclimatic zone, using a psychrometric chart.

Compliance is confirmed if the calculated  $T_{si}$  for each component consistently exceeds the determined  $T_r$  (see Figure 8).



**Figure 8:** Flowchart of surface condensation risk. EM.110 - 2014 and 2022 methodology. Adapted from [13, 36].

### 2.3. Modelo Evaluación del Confort Térmico Adaptativo

The assessment of thermal comfort was based on recognized adaptive models, such as those developed by de Dear and Brager (1998) and Nicol and Humphreys (1998, 2002) [21]. The model inputs utilized the outdoor temperature conditions during the frost season (June, July, and August) obtained from the nearest meteorological station (Payapunku). A satisfaction criterion for 80% of occupants was established, which corresponds to an acceptable comfort range of  $\pm 3.45$  °C from the neutral temperature. Based on these models, to achieve thermal well-being for 80% of users in the relevant bioclimatic zone, indoor temperatures should be maintained between 12 °C and 24 °C, with a more convergent optimal range of 17 °C to 19 °C during the frost season. For additional context, the current Occupational Health manual in Peru specifies an optimal effective temperature in winter ranging from 17 °C to 22 °C, with comfort zones located between 30% and 70% relative humidity (RH) [38].

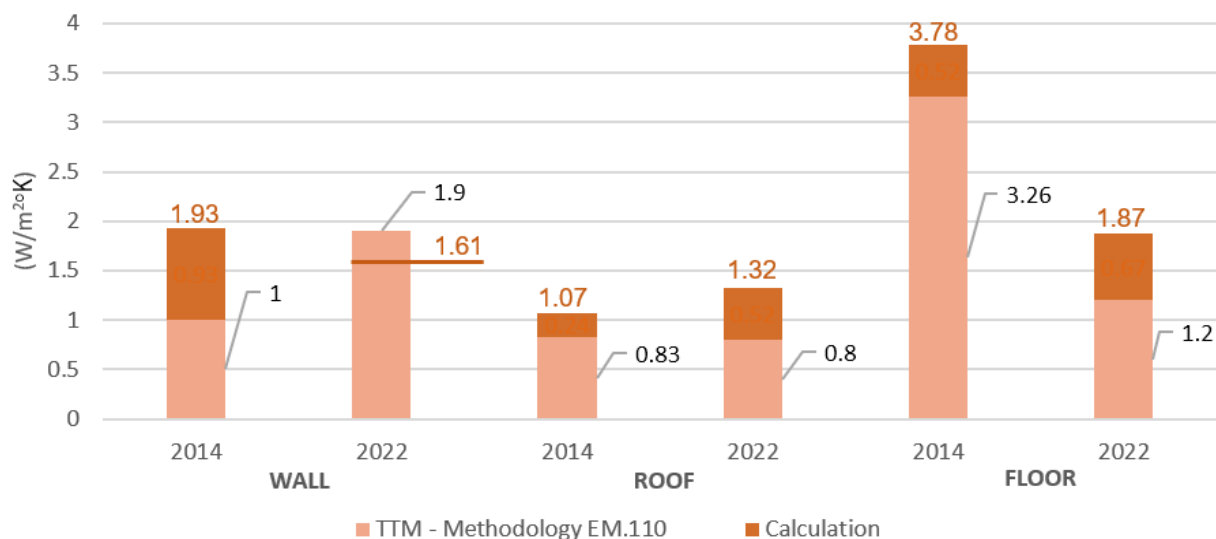
## 3. Results

The results of the thermal performance assessment of the adobe-built Sumaq Wasi housing module, which include analyses of thermal transmittance, surface condensation risk, and adaptive thermal comfort, indicate a notable non-compliance with Peruvian regulations and an inability to ensure thermally comfortable conditions for its occupants.

### 3.1. Thermal Transmittance (U-value)

The thermal transmittance calculations reveal deficient insulation in most of the building envelope. The wall, with a U-value of 1.93 W/m<sup>2</sup>K, exceeds the limit of the 2014 standard (1.00 W/m<sup>2</sup>K), although its recalculated value under the 2022 draft update (1.61 W/m<sup>2</sup>K) does meet the more flexible threshold of 1.90 W/m<sup>2</sup>K. However, both the roof and

the floor fail under both assessments. The roof significantly exceeds the limits for both 2014 (1.07 vs. 0.83 W/m<sup>2</sup>K) and 2022 (1.32 vs. 0.80 W/m<sup>2</sup>K). Similarly, the floor surpasses the corresponding thresholds with values of 3.78 vs. 3.26 W/m<sup>2</sup>K (2014) and 1.87 vs. 1.20 W/m<sup>2</sup>K (2022) (see Figure 9).



**Figure 9:** Comparative table of final thermal transmittance values. Maximum thermal transmittance (TTM) values for each methodology studied. (Authors 2023). [13, 36].

### 3.2. Surface Condensation Risk

#### 3.2.1. Calculation of Dew Point Temperature ( $T_r$ )

Using field data, the dew point temperature ( $T_r$ ) was determined. Considering the minimum recorded indoor temperature of 7.30 °C (Table 3 and Figure 11) and its corresponding indoor relative humidity of 36.7%, the resulting  $T_r$  was -6.70 °C.

#### 3.2.2. Calculation of Internal Surface Temperature ( $T_{si}$ )

The internal surface temperature ( $T_{si}$ ) was calculated for each envelope assembly based on the formulas presented in the methodology section. The key input values, which differ between the two standards, were as follows:

- EM. 110 - 2014: The internal surface resistance ( $R_{si}$ ) was set at 0.11 m<sup>2</sup>K/W for the wall and 0.09 m<sup>2</sup>K/W for the roof and floor.
- EM. 110 - 2022: The  $R_{si}$  values were obtained from Table 5 of the draft standard, establishing 0.13 m<sup>2</sup>K/W for the wall, 0.10 m<sup>2</sup>K/W for the roof, and 0.17 m<sup>2</sup>K/W for the floor.

The most critical recorded field data were used for the indoor ( $T_i$ ) and outdoor ( $T_e$ ) temperatures, which were 7.30 °C and -3.00 °C, respectively (Table 4). The total thermal resistance ( $R_t$ ) for each assembly was derived from the previously calculated thermal transmittance values.

**Table 3.** Record of Indoor and Outdoor Temperature from July 24 to 26, 2023.

Date	Indoor Temperature (°C)		HRi <sup>1</sup> (%)		Outdoor Temperature (°C)		HRe <sup>2</sup> (%)	
	Max	Min	Max	Min	Max	Min	Max	Min
24/07/2023	12.7	8.7	39.0	25.6	19.4	0.2	49.2	8.9
25/07/2023	12.4	7.3	37.9	21.8	20.7	-3.0	42.4	5.5
26/07/2023	11.3	7.7	34.2	20.4	20.6	-4.0	43.0	7.2
<b>Average</b>	<b>12.13</b>	<b>7.9</b>	<b>37.03</b>	<b>22.6</b>	<b>20.23</b>	<b>-2.27</b>	<b>44.87</b>	<b>7.2</b>

<sup>1</sup> Indoor Relative Humidity

<sup>2</sup> Outdoor Relative Humidity

Under both methodologies, the calculated Tsi values for each envelope assembly were higher than the dew point temperature (Tr). Although the Tsi values differed slightly between the two standards, both scenarios indicated that the risk of surface condensation was avoided (Table 4).

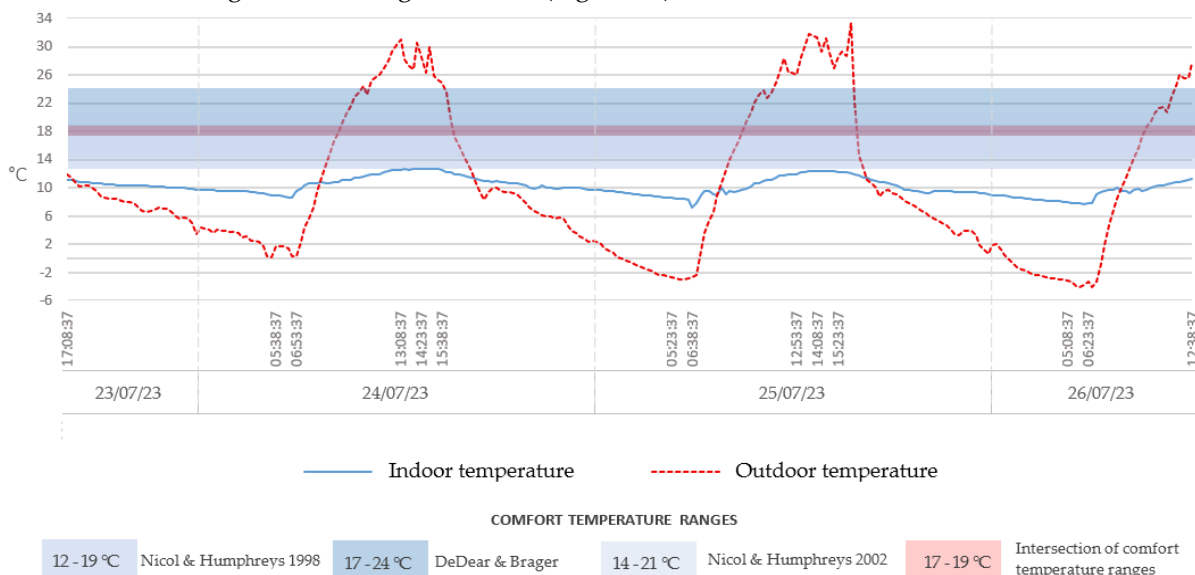
**Table 4.** Comparison of Tsi and Tr Values – Sumaq Wasi Adobe Housing.

Envelope Component	Tr (°C) <sup>a</sup>	EM.110 - 2014 Tsi (°C) <sup>b</sup>	EM.110 - 2022 Tsi (°C) <sup>c</sup>
Wall		6.71	5.14
Roof	-6.70	6.43	5.94
Floor		7.06	4.12

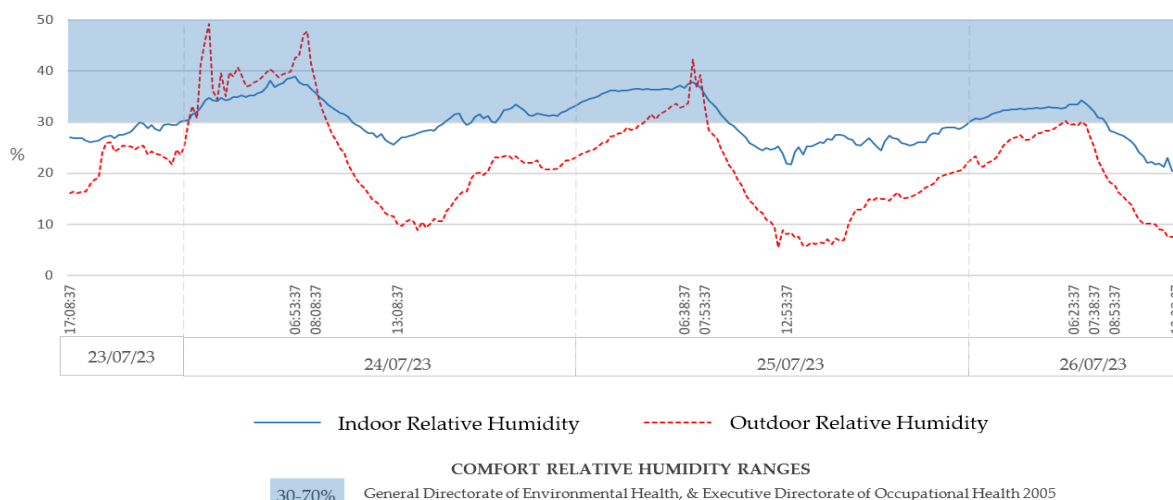
<sup>a</sup>Dew Point Temperature  
<sup>b</sup>Internal Surface Temperature

### 3.3. Adaptive Thermal Comfort Assessment

The collected data show that the adobe housing module fails to reach the target comfort temperature range of 17–19 °C at any point during the day. Instead, it recorded average indoor temperatures between 8.7 °C and 11.4 °C during the occupants' resting hours (17:00 to 05:00) (Figure 10). Regarding indoor relative humidity, the module was partially within the comfort limits, with average values ranging from 26.7% to 37.8% during the hours of greatest use (Figure 11).



**Figure 10:** Graph of Indoor and Outdoor Relative Temperature.



**Figure 11:** Graph of Indoor and Outdoor Relative Humidity.

#### 4. Discussion

The findings on the thermal performance of the adobe Sumaq Wasi module indicate a significant discrepancy with the requirements of the Peruvian regulations, as well as the dwelling's limited capacity to maintain comfortable conditions for its occupants.

The thermal transmittance analysis shows that the main components of the building envelope—the wall, roof, and floor—exceed the maximum allowable values stipulated by the 2014 standard's methodology. This trend continues under the 2022 draft methodology, with the sole exception of the wall assembly.

Regarding the results for the wall, the value obtained using the 2014 standard's methodology is 1.93 W/m<sup>2</sup>K, compared to the 1.088 W/m<sup>2</sup>K value of a typical adobe wall [21]. Although this value does not meet the strict limit of the 2014 standard (1.00 W/m<sup>2</sup>K), it does comply with the more flexible criterion of the 2022 draft update (1.90 W/m<sup>2</sup>K), with a calculated value of 1.61 W/m<sup>2</sup>K. This finding contrasts with optimized bioclimatic prototypes, such as the one in the locality of Orduña at an altitude of 4670 meters, which achieved an excellent performance of 0.667 W/m<sup>2</sup>K using adobe and totora reeds, demonstrating that it is feasible to achieve high thermal performance [21].

For the roof, the results under both the 2014 methodology (1.07 W/m<sup>2</sup>K) and the 2022 draft update (1.32 W/m<sup>2</sup>K) are similarly consistent with findings from a study in the Colca Valley at an altitude of 4200 meters (1.80 W/m<sup>2</sup>K without insulation) [24]. All these values significantly exceed the high-Andean regulatory limit (0.83 W/m<sup>2</sup>K), identifying the roof as the most critical point of heat loss. This poor performance is primarily attributed to the use of corrugated zinc sheets (calamina) without adequate insulation. Even improved prototypes, such as the one in Orduña, which reached 0.916 W/m<sup>2</sup>K, still fail to meet the standard, underscoring the technical challenge of insulating roofs in this region [21].

For the floor, the value under the 2014 standard (3.78 W/m<sup>2</sup>K) exceeds the limit of 3.26 W/m<sup>2</sup>K, and the value under the 2022 draft (1.87 W/m<sup>2</sup>K) surpasses the threshold of 1.20 W/m<sup>2</sup>K. This result is comparable to that of the brick Sumaq Wasi module, which recorded 3.417 W/m<sup>2</sup>K due to its polished cement floor, and it contrasts with more efficient solutions that use wood or air chambers [31–34]. However, it is crucial to interpret this finding with caution. Previous research warns that excessive insulation could be counterproductive, as a poorly insulated floor can leverage the thermal inertia of the ground (16–18 °C) as a passive heat source—a benefit that would be nullified by complete insulation [24].

Regarding the risk of surface condensation, the results indicate that it is not present under either the 2014 or 2022 methodologies, as the internal surface temperature ( $T_{si}$ ) of the envelope components always remained above the dew point temperature (-6.70 °C). This finding is explained by the region's climatic conditions. The critical outdoor temperature recorded (-3.00 °C) is consistent with typical frosts in the southern high-Andes [20,21]. Simultaneously, the indoor relative humidity (36.7%) is low, a characteristic of the dry, high-altitude climate, as corroborated by studies in Imata (RH < 40%) and Uro (winter RH of 27.16%) [16,22]. However, moisture can lead to the biodeterioration of building materials, a recurring problem in rural dwellings [23].

Regarding indoor relative humidity, this study reported a range between 26.7% and 37.8%, values that are situated at the lower end of the comfort ranges proposed for the zone (31.1%–61.3%) [16]. Although temperature is the most critical factor in dry climates, maintaining humidity within healthy limits is fundamental for preventing respiratory ailments [17].

For adaptive comfort, the results of this study show that in the housing module, indoor temperatures during early morning resting hours oscillate between 8.7 °C and 11.4 °C at an altitude of 3958 meters, compared to the 18 °C established by the 2014 standard for housing. A similar situation is found in studies that applied the adaptive thermal comfort model in Orduña, establishing ranges of 8 °C to 10 °C at 4260 meters during the early morning [21]. In Imata, a restricted comfort zone between 9.5 °C and 15.3 °C was

338 proposed at 4500 meters [16], while in Langui, a comfort range between 11.4 °C and 18.4  
339 °C was established at 3969 meters [25]. Given this reality, the literature suggests that high-  
340 Andean inhabitants are acclimatized to lower indoor temperatures than those prescribed  
341 by international standards. The comfort ranges obtained in this study oscillate between  
342 17 °C and 19 °C for Kunturkanki, located at an altitude of 3958 meters. This indicates that  
343 while the Sumaq Wasi module does not meet an ideal standard, its thermal performance  
344 is comparable to that of other construction solutions adapted to the high-Andean  
345 environment [16, 21].

346 Furthermore, the comparative application of the EM.110 standard (2014) and its 2022  
347 draft update not only reveals numerical differences in the results but also exposes a clear  
348 evolution in the approach to thermal assessment in Peru. The 2022 proposal represents a  
349 leap toward a more technically rigorous standard, aligned with international practices  
350 such as the ISO 13370 and 13789 standards [39,40]. This is evidenced by the requirement  
351 for specific calculations for openings, the differentiated treatment of heterogeneous  
352 elements, and the inclusion of horizontal and vertical heat flows. While the 2014 standard  
353 offers a more simplified method, the 2022 version acknowledges the contribution of all  
354 components to the total heat flow, which justifies the discrepancies observed in this study  
355 and underscores the need for methodologies that more faithfully capture actual thermal  
356 performance.

357 Particularly revealing is the change in approach for the floor envelope. The 2022  
358 draft, by prioritizing perimeter insulation over the composition of layers, recognizes a  
359 more sophisticated design strategy. However, this advancement introduces an apparent  
360 contradiction: while the standard becomes more stringent by drastically reducing the U-  
361 value limit from 3.26 to 1.20 W/m<sup>2</sup>K, it could also incentivize over-insulation. As previous  
362 research warns, this practice would nullify the passive benefit of the ground's thermal  
363 inertia, which acts as a natural heat source [24]. This tension between strict regulatory  
364 compliance and the utilization of passive bioclimatic strategies is one of the most  
365 important methodological implications arising from this analysis.

366 Future studies should conduct more fieldwork and parametric simulations in the  
367 high-Andean context to quantify the actual contribution of the ground's thermal inertia to  
368 comfort and energy demand. Furthermore, an optimal range of thermal transmittance for  
369 floors should be determined to balance insulation against heat loss with the harnessing of  
370 passive geothermal heat. These findings would be crucial for refining regulations and  
371 design guides, ensuring they promote solutions that are genuinely adapted to the local  
372 climate and resources.

373 In light of these findings, it is recommended that improvements to the Sumaq Wasi  
374 module focus on roof insulation, as it is the most critical point of heat loss. The  
375 incorporation of low-cost, locally available insulating materials, such as straw, could  
376 significantly reduce thermal transmittance without a major increase in cost. For the floor,  
377 a balanced approach is suggested that improves airtightness to prevent cold air infiltration  
378 but does not completely isolate the ground, in order to continue leveraging its thermal  
379 inertia. Finally, it is necessary for future social housing policies in high-Andean zones to  
380 adopt regulatory limits and comfort ranges that are adapted to the climatic and cultural  
381 reality of the region, prioritizing bioclimatic and passive construction solutions.

## 382 5. Conclusions

383 The thermal performance assessment of the Sumaq Wasi housing module in  
384 Kunturkanki, at an altitude of 3958 meters, reveals a notable non-compliance with  
385 Peruvian regulations and a limited capacity to ensure comfortable conditions for its  
386 occupants. The thermal transmittance analysis demonstrates that the envelope does not  
387 meet the requirements of either the 2014 or 2022 standards, identifying the roof as the  
388 most critical point of heat loss. Despite this, the risk of surface condensation is low due to  
389 the scarce humidity of the high-Andean climate. In terms of habitability, while the

dwelling does not meet conventional comfort standards, its nighttime performance (8.7 °C–11.4 °C) is comparable to that of other solutions adapted to the Andean environment, which reinforces the need to adjust comfort models to local realities.

The main contribution of this research lies in the identification of a critical methodological tension within the most recent thermal regulation. While the 2022 proposal advances toward greater technical rigor, its prescriptive approach to floor insulation—by drastically reducing the U-value limit—could incentivize over-insulation solutions that nullify the passive benefit of the ground's thermal inertia. This finding underscores the need for future housing policies and regulations not only to pursue compliance with strict thresholds but also to promote intelligent bioclimatic design, balancing technical calculations with passive strategies adapted to the local context to ensure true energy efficiency and comfort.

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## Abbreviations

The following abbreviations are used in this manuscript:

UNSAAC	Universidad Nacional de San Antonio Abad del Cusco
MVCS	Ministerio de Vivienda, Construcción y Saneamiento
SENAMHI	Servicio Nacional de Meteorología e Hidrología

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